

# Polinomios aritméticos de Tutte

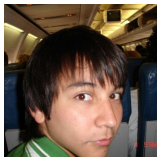
Federico Ardila

San Francisco State University  
Universidad de Los Andes, Bogotá, Colombia.

Congreso Colombiano de Matemáticas  
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## Outline

1. Tutte polynomials
2. Hyperplane arrangements
3. Computing Tutte polynomials
4. **Corte de comerciales.**
5. Arithmetic Tutte polynomials
6. Toric arrangements
7. Computing arithmetic Tutte polynomials



### Joint work with:

Federico Castillo (U. de Los Andes + U. of California at Davis)

Mike Henley (San Francisco State University)

## 1. THE TUTTE POLYNOMIAL.

Let  $\mathcal{A} \subseteq \mathbb{K}^n$  be a collection of vectors.

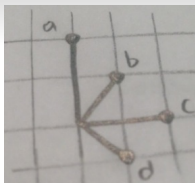
The **Tutte polynomial** of  $\mathcal{A}$  is

$$T_{\mathcal{A}}(x, y) = \sum_{\mathcal{B} \subseteq \mathcal{A}} (x-1)^{r(\mathcal{A})-r(\mathcal{B})} (y-1)^{|\mathcal{B}|-r(\mathcal{B})}.$$

where, for each  $\mathcal{B} \subseteq \mathcal{A}$ , the **rank of  $\mathcal{B}$**  is

$$r(\mathcal{B}) = \dim \operatorname{span}(\mathcal{B})$$

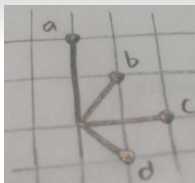
Example:  $C_2 = \{2e_1, 2e_2, e_1 + e_2, e_1 - e_2\} \subseteq \mathbb{R}^2$



subset	rank	contribution
$\emptyset$	0	$(x - 1)^2(y - 1)^0$
$a, b, c, d$	1	$(x - 1)^1(y - 1)^0$
$ab, ac, ad, bc, bd, cd$	2	$(x - 1)^0(y - 1)^0$
$abc, abd, acd, bcd$	2	$(x - 1)^0(y - 1)^1$
$abcd$	2	$(x - 1)^0(y - 1)^2$

$$\begin{aligned}
 T(x, y) &= (x - 1)^2 + 4(x - 1) + 6 + 4(y - 1) + (y - 1)^3 \\
 &= x^2 + y^2 + 2x + 2y
 \end{aligned}$$

Ojo. Need Char  $\mathbb{K} \neq 2$ .



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## WHY CARE ABOUT THE TUTTE POLYNOMIAL?

**Many** important invariants of  $\mathcal{A}$  are evaluations of  $T_{\mathcal{A}}(x, y)$ .

For vector arrangements:

- $T(1, 1)$  = number of **bases**.
- $T(2, 1)$  = number of **independent sets**.
- $T(1, 2)$  = number of **spanning sets**.

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For graphs:

From a graph  $G = (V, E)$  I get a vector arrangement  $\mathcal{A}_G \in \mathbb{K}^V$ :

$$\mathcal{A}_G = \{e_i - e_j : ij \text{ is an edge of } G\}$$

- $T(1, 1)$  = number of **spanning trees**.
- $T(2, 0)$  = number of **acyclic orientations** of edges.
- $T(0, 2)$  = number of **totally cyclic orientations** of edges.
- $(-1)^{v-c} q^c T(1 - q, 0)$  = **chromatic polynomial** = number of proper  $q$ -colorings of the vertices.
- $(-1)^{e-v+c} T(0, 1 - t)$  = **flow polynomial** = number of nowhere zero  $t$ -flows of the edges.

[Stanley, 1973, 1980] [Tutte, 1947] [Crapo, 1969]



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## 2. HYPERPLANE ARRANGEMENTS

For hyperplane arrangements:

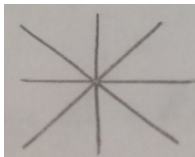
Vector  $a \in \mathbb{K}^n \mapsto$  Hyperplane  $H_a = \{x \in (\mathbb{K}^n)^* : a \cdot x = 0\}$ .

Vector arr.  $\mathcal{A} \subseteq \mathbb{K}^n \mapsto$  Hyperplane arr.  $H_{\mathcal{A}} = \{H_a : a \in \mathcal{A}\}$

Complement  $V(\mathcal{A}) = \mathbb{K}^n \setminus \bigcup_{H \in \mathcal{A}} H$

**Example.**

$\mathcal{C}_2 : 2x = 0, x + y = 0, 2y = 0, x - y = 0$



## Example. $C_3$

Root system:

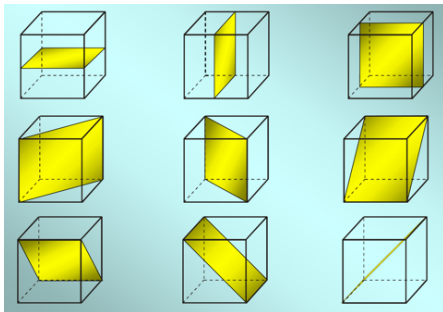
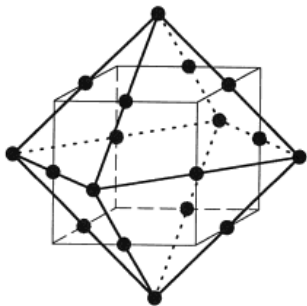
- $\pm e_i \quad (1 \leq i \leq 3)$
- $\pm e_i \pm e_j \quad (1 \leq i < j \leq 3)$

Hyperplanes:

$$2x = 0, 2y = 0, 2z = 0$$

$$x + y = 0, y + z = 0, z + x = 0$$

$$x - y = 0, y - z = 0, z - x = 0$$



**Many** important invariants of  $\mathcal{A}$  are evaluations of  $T_{\mathcal{A}}(x, y)$ .

For hyperplane arrangements:

- ( $\mathbb{K} = \mathbb{R}$ )

$$(-1)^n T(2, 0) = \text{number of regions of } V(\mathcal{A})$$

[Zaslavsky, 1975]

- ( $\mathbb{K} = \mathbb{C}$ )

$$T(1 - q, 0) = \sum_i \dim H^i(V(\mathcal{A}); \mathbb{Z})(-q)^i$$

[Orlik and Solomon, 1980, Goresky-MacPherson, 1988]

- ( $\mathbb{K} = \mathbb{F}_q$ )

$$|T(1 - q, 0)| = |V(\mathcal{A})|$$

[Crapo and Rota, 1970]

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## WHY IS THE TUTTE POLYNOMIAL IN SO MANY PLACES?

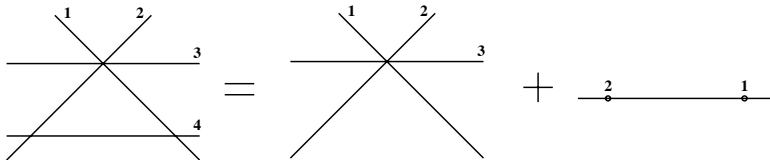
Given a hyperplane arrangement  $\mathcal{A}$  and a hyperplane  $H$ :

**Deletion:**  $\mathcal{A} \setminus H = \mathcal{A} \setminus H$

**Contraction:**  $\mathcal{A}/H = \{H' \cap H : H' \in \mathcal{A}\}$

A **Tutte-Grothendieck** invariant is a function which behaves well under deletion and contraction:

$$f(\mathcal{A}) = f(\mathcal{A} \setminus H) + f(\mathcal{A}/H) \quad (H \text{ nontrivial})$$



**Theorem.** (Brylawski, 1972) The Tutte polynomial is the universal T-G invariant. Every other one is an evaluation of  $T_{\mathcal{A}}(x, y)$ .

### 3. COMPUTING TUTTE POLYNOMIALS

#### Finite field method.

Let  $\bar{\chi}(q, t) = (t - 1)^r T\left(\frac{q+t-1}{t-1}, t\right)$ .

**Theorem.** Let  $\mathcal{A}$  be a  $\mathbb{Z}$ -arrangement. Let  $q$  be a large enough prime power, and let  $\mathcal{A}_q$  be the induced arrangement in  $\mathbb{F}_q^n$ . Then

$$q^{n-r} \bar{\chi}_{\mathcal{A}}(q, t) = \sum_{p \in \mathbb{F}_q^n} t^{h(p)}$$

where  $h(p)$  = number of hyperplanes of  $\mathcal{A}_q$  that  $p$  lies on.

Computing Tutte polynomials is #P-hard, so we cannot expect miracles from this method. Still, it is often very useful.



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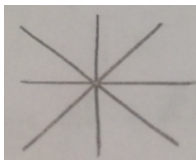
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An example.

$$C_2: 2x_1 = 0, 2x_2 = 0, x_1 + x_2 = 0, x_1 - x_2 = 0.$$



$$\begin{aligned}\bar{\chi}_{C_2}(q, t) &= \sum_{p \in \mathbb{F}_q^n} t^{h(p)} \\ &= t^4 + t^1[4(q-1)] + t^0[q^2 - 4q + 3]\end{aligned}$$

## Corte de comerciales.

San Francisco State University – Colombia Combinatorics Initiative

Para más información sobre

- combinatoria enumerativa (el siguiente semestre),
- matroides,
- politopos,
- grupos de Coxeter,
- álgebra conmutativa combinatoria, y
- álgebras de Hopf en combinatoria,

pueden ver los (200+) videos y las notas de mis cursos de San Francisco State University y la Universidad de Los Andes:

<http://math.sfsu.edu/federico/>

<http://youtube.com/user/federicoelmatematico>



Decía que la aritmética...

## 4. THE ARITHMETIC TUTTE POLYNOMIAL.

Let  $\mathcal{A} \subseteq \mathbb{Z}^n$  be a collection of vectors.

The **arithmetic Tutte polynomial** of  $\mathcal{A}$  is

$$T_{\mathcal{A}}(x, y) = \sum_{\mathcal{B} \subseteq \mathcal{A}} m(\mathcal{B})(x-1)^{r(\mathcal{A})-r(\mathcal{B})}(y-1)^{|\mathcal{B}|-r(\mathcal{B})}.$$

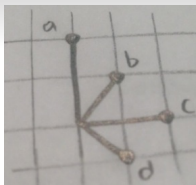
where, for each  $\mathcal{B} \subseteq \mathcal{A}$ , the **rank of  $\mathcal{B}$**  is

$$r(\mathcal{B}) = \dim \operatorname{span}(\mathcal{B})$$

and the **multiplicity of  $\mathcal{B}$**  is

$$m(\mathcal{B}) = \text{index of } \mathbb{Z}\mathcal{B} \text{ inside } \mathbb{Z}^n \cap \operatorname{span}(\mathcal{B})$$

Example:  $\mathcal{C}_2 = \{2e_1, 2e_2, e_1 + e_2, e_1 - e_2\} \subseteq \mathbb{Z}^2$



subset	rank	multiplicity	contribution
$\emptyset$	0	1	$(x-1)^2(y-1)^0$
$a, c$	1	2	$(x-1)^1(y-1)^0$
$b, d$	1	1	$(x-1)^1(y-1)^0$
$ab, ad, bc, bd, cd$	2	2	$(x-1)^0(y-1)^0$
$ac$	2	4	$(x-1)^0(y-1)^0$
$abc, abd, acd, bcd$	2	2	$(x-1)^0(y-1)^1$
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$$\begin{aligned}
 M(x, y) &= 1(x-1)^2 + [2+2+1+1](x-1) + [4+2+2+ \\
 &\quad +2+2+2] + [2+2+2+2](y-1) + 2(y-1)^3 \\
 &= x^2 + 2y^2 + 4x + 4y + 3
 \end{aligned}$$

## 5. HYPERTORIC ARRANGEMENTS

Let  $\mathcal{T} = (\mathbb{K}^*)^n = (\mathbb{K} \setminus 0)^n$  be an  $n$ -torus.

For hypertoric arrangements:

Vector  $a \in \mathbb{Z}^n \mapsto$  Hypertorus  $T_a = \{x \in (\mathbb{K}^n)^* : x^a = 1\} \subset \mathcal{T}$ .

Vector arr.  $\mathcal{A} \subseteq \mathbb{K}^n \mapsto$  Hyperplane arr.  $\mathcal{T}_{\mathcal{A}} = \{H_a : a \in \mathcal{A}\}$

Complement  $R(\mathcal{A}) = \mathcal{T} \setminus \bigcup_{T \in \mathcal{T}_{\mathcal{A}}} T$

**Example.**

$C_2$ :  $x^2 = 1, xy = 1, y^2 = 1, x/y = 1$

**Several** important invariants of  $\mathcal{A}$  are evaluations of  $M_{\mathcal{A}}(x, y)$ .

For hypertoric arrangements:

- ( $\mathbb{K} = \mathbb{R}$ )

$$(-1)^n M(1, 0) = \text{number of regions of } R(\mathcal{A}) \text{ in } \mathbb{S}_1^n$$

[Ehrenborg–Readdy–Sloane 2009, Moci, 2012]

- ( $\mathbb{K} = \mathbb{C}$ )

$$q^n M(2 + \frac{1}{q}, 0) = \sum_i \dim H^i(R(\mathcal{A}); \mathbb{Z}) q^i$$

[De Concini–Procesi 2005, Moci, 2012]

- ( $\mathbb{K} = \mathbb{F}_{q+1}$ , if  $q \equiv 1 \pmod{N}$ )

$$(-1)^n M(1 - q, 0) = |R(\mathcal{A})|$$

[Brändén–Moci 2013, A.–Castillo–Henley 2013]



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Geometry:

1. [Stanley 1991] The **zonotope** of  $A$  is

$$Z(A) = \left\{ \sum_{a \in A} \lambda_a \cdot a : 0 \leq \lambda \leq 1 \right\}$$

- volume of  $Z(A) = M(1, 1)$
- number of lattice points of  $Z(A) = M(2, 1)$
- number of interior lattice points of  $Z(A) = M(0, 1)$

**Several** important invariants of  $\mathcal{A}$  are evaluations of  $M_{\mathcal{A}}(x, y)$ .

Box spline theory:

Numerical analysis  $\cap$  Index theory  $\cap$  Algebraic combinatorics

The Hilbert series of the **Dahmen – Micchelli space** and the **De Concini – Procesi – Vergne space** are:

- $\text{Hilb}(DM(\mathcal{A}); q) = q^n M(\frac{1}{q}, 1)$ . [Dahmen–Micchelli 1985]
- $\text{Hilb}(DPV(\mathcal{A}); q) = q^n M(1 + \frac{1}{q}, 1)$  [A. 2012]

## 6. COMPUTING ARITHMETIC TUTTE POLYNOMIALS

Finite field method.

Let  $\Psi(q, t) = (t - 1)^r M\left(\frac{q+t-2}{t-1}, t\right)$ .

**Theorem.** [A. – Castillo – Henley 2012, Bränden – Moci 2012]

Let  $\mathcal{A}$  be a toric arrangement. Let  $q$  be a large enough prime with  $q \equiv 1 \pmod{N}$ , and let  $\mathcal{A}_q$  be the induced arrangement in  $(\mathbb{F}_q^*)^n$ . Then

$$q^{n-r} \Psi_{\mathcal{A}}(q, t) = \sum_{p \in (\mathbb{F}_q^*)^n} t^{h(p)}$$

where  $h(p)$  = number of hypertori of  $\mathcal{A}_q$  that  $p$  lies on.

## ROOT SYSTEMS

**Root systems** are arguably the most important vector configurations in mathematics. They are crucial in the classification of regular polytopes, simple Lie groups and Lie algebras, cluster algebras, etc.

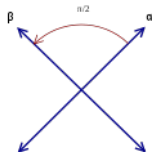
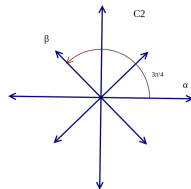
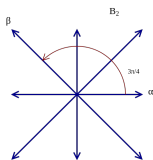
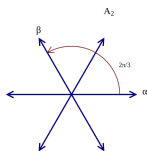
“Classical root systems”:

$$A_n^+ = \{e_i - e_j : 1 \leq i < j \leq n\}$$

$$B_n^+ = \{e_i \pm e_j : 1 \leq i < j \leq n\} \cup \{e_i : 1 \leq i \leq n\}$$

$$C_n^+ = \{e_i \pm e_j : 1 \leq i < j \leq n\} \cup \{2e_i : 1 \leq i \leq n\}$$

$$D_n^+ = \{e_i \pm e_j : 1 \leq i < j \leq n\}$$



## TUTTE POLYNOMIALS OF CLASSICAL ROOT SYSTEMS

We compute the (arithmetic) Tutte polynomials of  $A_n, B_n, C_n, D_n$

**Finite field method:** Compute  $a(n)$  (arithmetic) Tutte polynomial by solving a counting problem over a finite field.

Example:

$$C_n: \quad x_i^2 = 1, \quad x_i x_j = 1, \quad x_i / x_j = 1$$

Count points  $(p_1, \dots, p_n) \in (\mathbb{F}_q^*)^n$  by the number of equations they satisfy. (Basic number theory, quadratic residues,...)

## TUTTE POLYNOMIALS OF CLASSICAL ROOT SYSTEMS

The answers are best expressed in terms of the (arithmetic)

**Tutte generating functions**  $\Psi_A, \Psi_B, \Psi_C, \Psi_D$ :

$$\Psi_A(x, y, z) = \sum_{n \geq 0} \Psi_{A_n}(x, y) \frac{z^n}{n!}$$

and the **two-variable Rogers-Ramanujan function**:

$$F(\alpha, \beta) = \sum_{n \geq 0} \frac{\alpha^n \beta^{\binom{n}{2}}}{n!}$$

Motivating example:

**Theorem.** [A. 2002] The Tutte generating function for the type  $A$  root systems is:

$$\Psi_A(x, y, z) = F(z, y)^x.$$

Similar (more complicated) formulas hold for types  $B, C$ , and  $D$ .



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## TUTTE POLYNOMIALS OF CLASSICAL ROOT SYSTEMS

**Theorem.** [A.–Castillo–Henley 2013] The **arithmetic** Tutte generating functions for the classical root systems are:

$$\Psi_B = F(2Z, Y)^{\frac{x}{4}-1} F(Z, Y^2) F(YZ, Y^2) \left[ F(2Z, Y)^{\frac{x}{4}} + F(-2Z, Y)^{\frac{x}{4}} \right]$$

$$\Psi_C = F(2Z, Y)^{\frac{x}{2}-1} F(YZ, Y^2)^2$$

$$\Psi_D = F(2Z, Y)^{\frac{x}{4}-1} F(Z, Y^2)^2 \left[ F(2Z, Y)^{\frac{x}{4}} + F(-2Z, Y)^{\frac{x}{4}} \right]$$

and

$$\Psi_A = \sum_{n \in \mathbb{N}} \varphi(n) \left( [F(Z, Y) F(\omega_n Z, Y) F(\omega_n^2 Z, Y) \cdots F(\omega_n^{n-1} Z, Y)]^{x/n} - 1 \right)$$

where  $\varphi(n) = \#\{m \in \mathbb{N} : 1 \leq m \leq n, (m, n) = 1\}$  is Euler's totient function and  $\omega_n$  is a primitive  $n$ th root of unity for each  $n$ .

**Corollary.** Formulas for zonotopes, DM and DPV-spaces, etc.

## TUTTE POLYNOMIALS OF CLASSICAL ROOT SYSTEMS

**Theorem.** [A.–Castillo–Henley 2013] The **arithmetic** Tutte generating functions for the classical root systems are:

$$\Psi_B = F(2Z, Y)^{\frac{x}{4}-1} F(Z, Y^2) F(YZ, Y^2) \left[ F(2Z, Y)^{\frac{x}{4}} + F(-2Z, Y)^{\frac{x}{4}} \right]$$

$$\Psi_C = F(2Z, Y)^{\frac{x}{2}-1} F(YZ, Y^2)^2$$

$$\Psi_D = F(2Z, Y)^{\frac{x}{4}-1} F(Z, Y^2)^2 \left[ F(2Z, Y)^{\frac{x}{4}} + F(-2Z, Y)^{\frac{x}{4}} \right]$$

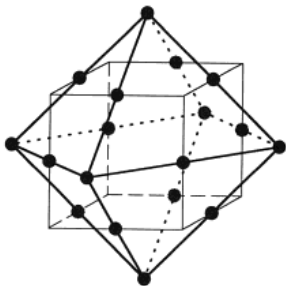
and

$$\Psi_A = \sum_{n \in \mathbb{N}} \varphi(n) \left( [F(Z, Y) F(\omega_n Z, Y) F(\omega_n^2 Z, Y) \cdots F(\omega_n^{n-1} Z, Y)]^{x/n} - 1 \right)$$

where  $\varphi(n) = \#\{m \in \mathbb{N} : 1 \leq m \leq n, (m, n) = 1\}$  is Euler's totient function and  $\omega_n$  is a primitive  $n$ th root of unity for each  $n$ .

**Corollary.** Formulas for zonotopes, DM and DPV-spaces, etc.

muchas gracias



El artículo está en:

<http://arxiv.org/abs/1305.6621>

<http://math.sfsu.edu/federico>