

SLOW DIVERGENCE AND UNIQUE ERGODICITY

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ABSTRACT. In [Ma1] Masur showed that a Teichmüller geodesic that is recurrent in the moduli space of closed Riemann surfaces is necessarily determined by a quadratic differential with a uniquely ergodic vertical foliation. In this paper, we show that a divergent Teichmüller geodesic satisfying a certain slow rate of divergence is also necessarily determined by a quadratic differential with unique ergodic vertical foliation. As an application, we sketch a proof of a complete characterization of the set of nonergodic directions in any double cover of the flat torus branched over two points.

1. INTRODUCTION

Let (X, q) be a holomorphic quadratic differential. The line element $|q|^{1/2}$ induces a flat metric on X which has cone-type singularities at the zeroes of q where the cone angle is an integral multiple of 2π . A *saddle connection* in X is a geodesic segment with respect to the flat metric that joins a pair of zeroes of q without passing through one in its interior. Our main result is a new criterion for the unique ergodicity of the vertical foliation \mathcal{F}_v , defined by $\operatorname{Re} q^{1/2} = 0$.

Teichmüller geodesics. The complex structure of X is uniquely determined by the atlas $\{(U_\alpha, \varphi_\alpha)\}$ of natural parameters away from the zeroes of q specified by $d\varphi_\alpha = q^{1/2}$. The evolution of X under the Teichmüller flow is the family of Riemann surfaces X_t obtained by post-composing the charts with the \mathbb{R} -linear map $z \rightarrow e^{t/2}\operatorname{Re} z + ie^{-t/2}\operatorname{Im} z$. It defines a unit-speed geodesic with respect to the Teichmüller metric on the moduli space of compact Riemann surfaces normalised so that Teichmüller disks have constant curvature -1 . The Teichmüller map $f_t : X \rightarrow X_t$ takes rectangles to rectangles of the same area, stretching in the horizontal direction and contracting in the vertical direction by a factor of $e^{t/2}$. By a *rectangle* in X we mean a holomorphic map a product of intervals in \mathbb{C} such that $q^{1/2}$ pulls back to $\pm dz$. All rectangles are assumed to have horizontal and vertical edges.

Date: February 2, 2008.

1991 *Mathematics Subject Classification.* 32G15, 30F30, 30F60, 37A25.

Key words and phrases. Teichmüller geodesics.

Let $\ell(X_t)$ denote the length of the shortest saddle connection. Let $d(t) = -2 \log \ell(X_t)$. Our main result is the following.

Theorem 1.1. *There is an $\varepsilon > 0$ such that if $d(t) < \varepsilon \log t + C$ for some $C > 0$ and for all $t > 0$, then \mathcal{F}_ν is uniquely ergodic.*

Theorem 1.1 was announced in [CE]. In §2 we present the main ideas that go into the proof of Theorem 1.1 and use them to prove an extension (see Theorem 2.15 of Masur's result in [Ma1] asserting that a Teichmüller geodesic which accumulates in the moduli space of closed Riemann surfaces is necessarily determined by a uniquely ergodic foliation. After briefly discussing the relationship between the various ways of describing rates in §3, we prove Theorem 1.1 in §4. Then, in §5 we sketch the characterisation of the set of nonergodic directions in the double cover of a torus, branched over two points, answering a question of W. Veech, ([Ve1], p.32, question 2).

2. NETWORKS

If ν is a (normalised) ergodic invariant measure transverse to the vertical foliation \mathcal{F}_ν then for any horizontal arc I there is a full ν -measure set of points $x \in X$ satisfying

$$(1) \quad \lim \frac{\#I \cap L_x}{|L_x|} = \nu(I) \quad \text{as } |L_x| \rightarrow \infty$$

where L_x represents a vertical segment having x as an endpoint. Given I , the set $E(I)$ of points satisfying (1) for *some* ergodic invariant ν has full Lebesgue measure. We refer to the elements of $E(I)$ as *generic points* and the limit in (1) as the *ergodic average* determined by x .

To prove unique ergodicity we shall show that the ergodic averages determined by all generic points converge to the same limit. The ideas in this section were motivated by the proof of Theorem 1.1 in [Ma1].

Convention. When passing to a subsequence $t_n \rightarrow \infty$ along the Teichmüller geodesic X_t we shall suppress the double subscript notation and write X_n instead of X_{t_n} . Similarly, we write f_n instead of f_{t_n} .

Lemma 2.1. *Let $x, y \in E(I)$ and suppose there is a sequence $t_n \rightarrow \infty$ such that for every n the images of x and y under f_n lie in a rectangle $R_n \subset X_n$ and the sequence of heights h_n satisfy $\lim h_n e^{t_n/2} = \infty$. Then x and y determine the same ergodic averages.*

Proof. One can reduce to the case where $f_n(x)$ and $f_n(y)$ lie at the corners of R_n . Let n_- (resp. n_+) be the number of times the left (resp. right) edge of $f_n^{-1}R_n$ intersects I . Observe that n_- and n_+ differ by at

most one so that since $h_n e^{t_n/2} \rightarrow \infty$, the ergodic averages for x and y approach the same limit. \square

Ergodic averages taken as $T \rightarrow \infty$ are determined by fixed fraction of the tail: for any given $\lambda \in (0, 1)$

$$\frac{1}{T} \int_0^T f \rightarrow c \quad \text{implies} \quad \frac{1}{(1-\lambda)T} \int_{\lambda T}^T f \rightarrow c.$$

This elementary observation is the motivation behind the following.

Definition 2.2. A point x is K -visible from a rectangle R if the vertical distance from x to R is at most K times the height of R .

We have the following generalisation of Lemma 2.1.

Lemma 2.3. *If $x, y \in E(I)$, $t_n \rightarrow \infty$ and $K > 0$ are such that for every n the images of x and y under f_n are K -visible from some rectangle whose height h_n satisfies $h_n e^{t_n/2} \rightarrow \infty$, then x and y determine the same ergodic averages.*

Definition 2.4. We say two points are K -reachable from each other if there is a rectangle R from which both are K -visible. We also say two sets are K -reachable from each other if every point of one is K -reachable from every point of the other.

Definition 2.5. Given a collection \mathcal{N} of subsets of X , we define an undirected graph $\Gamma_K(\mathcal{N})$ whose vertex set is \mathcal{N} and whose edge relation is given by K -reachability. A subset $Y \subset X$ is said to be K -fully covered by \mathcal{N} if every $y \in Y$ is K -reachable from some element of \mathcal{N} . We say \mathcal{N} is a K -network if $\Gamma_K(\mathcal{N})$ is connected and X is K -fully covered by \mathcal{N} .

Proposition 2.6. *If $K > 0$, $N > 0$, $\delta > 0$ and $t_n \rightarrow \infty$ are such that for all n , there exists a K -network \mathcal{N}_n in X_n consisting of at most N squares, each having measure at least δ , then \mathcal{F}_v is uniquely ergodic.*

Proof. Suppose \mathcal{F}_v is not uniquely ergodic. Then we can find a distinct pair of ergodic invariant measures ν_0 and ν_1 and a horizontal arc I such that $\nu_0(I) \neq \nu_1(I)$.

We construct a finite set of generic points as follows. By allowing repetition, we may assume each \mathcal{N}_n contains exactly N squares, which shall be enumerated by $A(n, i), i = 1, \dots, N$. Let $A_1 \subset X$ be the set of points whose image under f_n belongs to $A(n, 1)$ for infinitely many n . Note that A_1 has measure at least δ because it is a descending intersection of sets of measure at least δ . Hence, A_1 contains a generic point; call it x_1 . By passing to a subsequence we can assume the image

of x_1 lies in $A(n, 1)$ for all n . By a similar process we can find a generic point x_2 whose image belongs to $A(n, 2)$ for all n . When passing to the subsequence, the generic point x_1 retains the property that its image lies in $A(n, 1)$ for all n . Continuing in this manner, we obtain a finite set F consisting of N generic points x_i with the property that the image of x_i under f_n belongs to $A(n, i)$ for all n and i .

Given a nonempty proper subset $F' \subset F$ we can always find a pair of points $x \in F'$ and $y \in F \setminus F'$ such that $f_n(x)$ and $f_n(y)$ are K -reachable from each other for infinitely many n . This follows from the fact that $\Gamma_K(\mathcal{N}_n)$ is connected. By Lemma 2.3, the points x and y determine the same ergodic averages for any horizontal arc I . Since F is finite, the same holds for any pair of points in F .

Now let z_j be a generic point whose ergodic average is $\nu_j(I)$, for $j = 0, 1$. Since X_n is K -fully covered by \mathcal{N}_n , z_j will have the same ergodic average as some point in F , which contradicts $\nu_0(I) \neq \nu_1(I)$. Therefore, \mathcal{F}_v must be uniquely ergodic. \square

Definition 2.7. Let (X, q) be a holomorphic quadratic differential on a closed Riemann surface of genus at least 2. Two saddle connections are said to be *disjoint* if the only points they have in common, if any, are their endpoints. We call a collection of pairwise disjoint saddle connections a *separating system* if the complement of their union has at least two homotopically nontrivial components. By the *length of a separating system* we mean the total length of all its saddle connections. We shall blur the distinction between a separating system and the closed subset formed by the union of its elements.

Definition 2.8. Let X be a closed Riemann surface and q a holomorphic quadratic differential on X . Let

$$\ell_1(X, q)$$

denote the length of the shortest saddle connection in X . Let

$$\ell_2(X, q)$$

denote the infimum of the q -lengths of simple closed curves in X that do not bound a disk. Let

$$\ell_3(X, q)$$

denote the length of the shortest separating system.

Observe that

$$\ell_1(X, q) \leq \ell_2(X, q) \leq \ell_3(X, q).$$

Remark 2.9. Our arguments can also be applied to the case where X is a punctured Riemann surface and q has a simple pole at each

puncture. A saddle connection is a geodesic segment that joins two singularities (zero or puncture, and not necessarily distinct) without passing through one in its interior. In the definition of $\ell_2(X, q)$ the infimum should be taken over simple closed curves that neither bound a disk nor is homotopic to a puncture.

Proposition 2.10. *Let S be a stratum of holomorphic quadratic differentials. There are positive constants $K = K(S)$ and $N = N(S)$ such that for any $\delta > 0$ there exists $\varepsilon > 0$ such that for any area one surface $(X, q) \in S$ satisfying*

- (1) $\ell_3(X, q) > 2\delta$, and
- (2) (X, q) admits a complete Delaunay triangulation \mathcal{D} with the property that the length of every edge is either less than ε or at least δ

there exists a K -network of N embedded squares in (X, q) such that each square has side δ .

Proof. By a *short* (resp. *long*) edge we mean an edge in \mathcal{D} of length less than ε (resp. at least δ .) By a *small* (resp. *large*) triangle, we mean a triangle in \mathcal{D} whose edges are all short (resp. long.) Assuming $2\varepsilon < \delta$, we note that all remaining triangles in \mathcal{D} have one short and two long edges; we refer to them as *medium* triangles.

To each triangle $\Delta \in \mathcal{D}$ that has a long edge, i.e. any medium or large triangle, we associate an embedded square of side δ as follows. Note that the circumscribing disk D has diameter at least δ . Let S be the largest square concentric with D whose interior is embedded and let d be the length of its diagonal. If the boundary of S contains a singularity then $d \geq \delta$. Otherwise, there are two segments on the boundary of S that map to the same segment in X and D contains a cylinder core curve has length at most d . The boundary of this cylinder forms a separating system of length at most $2d$, so that $d \geq \delta$. In any case, there is an embedded square with side δ at the center of the disk D and we refer to this square as the *central square* associated to Δ .

For each pair (Δ, γ) where Δ is a medium or large triangle and γ is a long edge on its boundary, we associate an embedded square S' of side δ that contains the midpoint of γ as follows. The same argument as before ensures that S' exists. Note that S' is K -reachable from the central square associated to Δ for any $K > 0$. Note also that if S'' is the square associated to (Δ', γ) where $\Delta' \in \mathcal{D}$ is the other triangle having γ on its boundary, then the union of the circumscribing disks contains a rectangle that contains $S' \cup S''$, so that S'' is K -reachable from S' for any $K > 0$.

Let \mathcal{N} the collection of the central squares associated to any medium or large triangles Δ together with all the squares associated with all possible pairs (Δ, γ) where γ is a long edge on the boundary of a medium or large triangle Δ . The number of elements in \mathcal{N} is bounded above by some $N = N(S)$.

Lemma 2.11. *There is a universal constant $c > 0$ such that the area of any large triangle is at least $c\delta^4$.*

Proof. Let Δ be a large triangle, a the length of its shortest side and α the angle opposite a . The circumscribing disk D has diameter given by $d = a \csc \alpha$. If d is large enough, then D contains a maximal cylinder C whose height h and waist w are related by ([MS])

$$h \leq d \leq \sqrt{h^2 + w^2} < 2h.$$

Since the diameter of each component of $\Delta \setminus C$ is at most w , there is a curve of length at most $3w$ joining two vertices of Δ . Hence, $a \leq 3w \leq \frac{3}{h} \leq \frac{3}{c} \delta$. Since each side of Δ is at least δ we have

$$\text{area}(\Delta) \geq \frac{1}{2} \delta^2 \sin \alpha = \frac{a\delta^2}{2d} \geq \frac{\delta^4}{12}.$$

□

Let Y be the union of all small triangles and short edges in \mathcal{D} . Its topological boundary ∂Y is the union of all short edges. Let Y' be a component in the complement of Y . If Y' contains a large triangle, then Lemma 2.11 implies it is homotopically nontrivial as soon as $|\partial Y'|^2 < 4\pi \text{area}(Y')$, which holds if ε is small enough. Otherwise, Y' is a union of medium triangles and is necessarily homeomorphic to an annulus. The core of this annulus can neither bound a disk nor be homotopic to a puncture. Therefore, each component in the complement of Y is homotopically nontrivial. Assuming ε is small enough so that $|\partial Y| < \delta$, we conclude that the complement of Y is connected, from which it follows that $\Gamma_K(\mathcal{N})$ is connected for any $K > 0$.

If Y has empty interior, then X is K -fully covered by \mathcal{N} for any $K > 0$ and we are done. Hence, assume Y has nonempty interior Y° and note that Y° is homotopically trivial, for otherwise ∂Y would separate. To show that X is K -fully covered by $c\mathcal{N}$ it is enough to show that for any $x \in Y^\circ$ we can find a vertical segment starting at x , of length at most $K\varepsilon$, and having a subsegment of length at least ε contained in some medium or large triangle. This will be achieved by the next three lemmas.

Lemma 2.12. *The length of any vertical segment contained in Y° is at most $4M\varepsilon$ where M is the number of small triangles.*

Proof. Suppose not. Then there exists a vertical segment γ of length $4M\varepsilon$ contained in Y° . Since the length of any component of γ that lies in any small triangle is less than 2ε , there exists a small triangle Δ that intersects γ in at least three subsegments $\gamma_i, i = 1, 2, 3$. Let $p_i, i = 1, 2, 3$ be the midpoints of these segments and assume the indices are chosen so that p_2 lies on the arc along γ joining p_1 to p_3 . If γ_1 and γ_2 traverse Δ in the same direction, we can form an essential simple closed curve in Y° by taking the arc along γ from p_1 to p_2 and concatenating it with the arc in Δ from p_2 back to p_1 . Since Y° is homotopically trivial, we conclude that γ_1 and γ_2 traverse Δ in opposite directions. Similarly, γ_2 and γ_3 traverse Δ in opposite directions, so that γ_1 and γ_3 traverse Δ in the same direction. Let τ be the arc in Δ that joins the midpoints of γ_1 and γ_3 . Note that τ cannot be disjoint from γ_2 for otherwise we can form an essential simple closed curve by taking the union of τ with the arc along γ joining p_1 and p_3 . Let p'_2 be the point where τ intersects γ_2 and note that we can form an essential simple closed curve by following arc along γ from p_1 to p'_2 , followed by the arc in Δ from p'_2 to p_3 , followed by the arc along γ from p_3 back to p'_2 , then back to p_1 along the arc in Δ . In any case, we obtain a contradiction to the fact that Y° is homotopically trivial and this contradiction proves the lemma. \square

Lemma 2.13. *Suppose γ is a vertical segment in the complement of Y which does not pass through any singularity, has length less than ε , and has each of its endpoints in the interior of some short edge in ∂Y . Then there is a finite collection of triangles such that γ is contained in the interior of their union and each triangle is formed by three saddle connections of lengths less than 7ε .*

Proof. Let τ and τ' be the short edges in ∂Y that contain the endpoints of γ , respectively. Let α be a curve joining one endpoint of τ to the endpoint of τ' on the same side of γ by following an arc along τ , then γ , and then another arc along τ' . Let β be the curve formed using the remaining arc of τ followed by γ and then the remaining arc of τ' . Let α' (resp. β') be the geodesic representatives in the homotopy class of α (resp. β) relative to its endpoints. Both α' and β' is a finite union of saddle connections whose total length is less than 3ε . The union $\tau \cup \alpha' \cup \tau' \cup \beta'$ bounds a closed set C whose interior can be triangulated using saddle connections, each of which joins a singularity on α' to a singularity on β' . The length of each such interior saddle connection is less than 7ε . The union of C with the small triangles having τ and τ' on their boundary contains γ in its interior. \square

Lemma 2.14. *There is a $K' = K'(S)$ such that any vertical segment of length $K'\varepsilon$ intersects the complement of Y in a subsegment of length ε .*

Proof. Let Σ be the set of singularities of (X, q) . By Lemma 2.12, there is some $M' = M'(S)$ such that the length of any vertical segment contained in $Y \cup \Sigma$ is less than $K'\varepsilon$. Let $K' = (M' + 1)\nu^2$ where $\nu = \nu(S)$ is the total number of edges. Suppose there exists a vertical segment γ of length $K'\varepsilon$ such that each component in the complement of Y has length less than ε . Then there are two subsegments of γ in the complement of Y that join the same pair of short edges in ∂Y . Let Z be the complex generated by saddle connections of length less than 7ε . (See [EM].) Its area is $O(\varepsilon^2)$ and its boundary is $O(\varepsilon)$ where the implicit constants depending only on S . By Lemma 2.13 implies the interior of Z is homotopically nontrivial, implying that ∂Z forms a separating system. If ε is sufficiently small, this contradicts $\ell_3(X, q) > 2\delta$. This is a contradiction proves the lemma. \square

Let $M = M(S)$ be the total number of triangles. Given $x \in Y^\circ$ we may form a vertical segment γ of length $K'\varepsilon$ with one endpoint at x . By Lemma 2.14, there is a component of γ in the complement of Y whose length is at least ε . This component is a union of at most M segments, each of which contained in some medium or large triangle. The longest such segment has length at least $\frac{\varepsilon}{M}$. Hence, x is K -reachable from the central square associated to the medium or large triangle that contains this segment, where $K = K'M$. This complete the proof of Proposition 2.10. \square

Boshernitzan's criterion [Ve2] is a consequence of Masur's theorem [Ma1] by the first inequality. Masur's theorem is a consequence of the following by the second inequality.

Theorem 2.15. *If $\limsup_{t \rightarrow \infty} \ell_3(X_t) > 0$ then \mathcal{F}_v is uniquely ergodic.*

Proof. Fix $t_n \rightarrow \infty$ and $\delta_3 > 0$ such that $\ell_3(X_n) > 2\delta_3$ for all n . Let \mathcal{D}_n be a complete Delaunay triangulation of X_n and let λ_i^n be the length of the i th shortest edge. By convention, we set $\lambda_0^n = 0$ for all n . Let $i \geq 0$ be the unique index determined by

$$(2) \quad \liminf_n \lambda_i^n = 0, \quad \text{and} \quad \liminf_n \lambda_{i+1}^n > 0.$$

By passing to a subsequence and re-indexing, we may assume there is a $\delta_1 > 0$ such that

$$(3) \quad \lambda_{i+1}^n > \delta_1 \quad \text{for all } n.$$

Assume n is large enough so that

$$(4) \quad \lambda_i^n < \varepsilon$$

where ε is small enough as required by Proposition 2.10 with $\delta = \min(\delta_1, \delta_3)$. The theorem now follows from Propositions 2.6. \square

3. RATES OF DIVERGENCE

In this section we discuss the various notions of divergence and the rates of divergence.

The hypothesis of Theorem 1.1 can be formulated in terms of the flat metric on X without appealing to the forward evolution of the surface. Let $h(\gamma)$ and $v(\gamma)$ denote the horizontal and vertical components of a saddle connection γ , which are defined by

$$h(\alpha) = \left| \operatorname{Re} \int_{\gamma} \omega \right| \quad \text{and} \quad v(\alpha) = \left| \operatorname{Im} \int_{\gamma} \omega \right|.$$

It is not hard to show that the following statements are equivalent.

- (a) There is a $C > 0$ such that for all $t > 0$, $d(t) < \varepsilon \log t + C$.
- (b) There is a $c > 0$ such that for all $t > 0$, $\ell(X_t) > c/t^{\varepsilon/2}$.
- (c) There are constants $c' > 0$ and $h_0 > 0$ such that for all saddle connections γ satisfying $h(\gamma) < h_0$,

$$(5) \quad h(\gamma) > \frac{c'}{v(\gamma)(\log v(\gamma))^{\varepsilon}}.$$

For any $p > 1/2$ there are translation surfaces with nonergodic \mathcal{F}_v whose Teichmüller geodesic X_t satisfies the sublinear slow rate of divergence $d(t) \leq Ct^p$. See [Ch2]. Our main result asserts a logarithmic slow rate of divergence is enough to ensure unique ergodicity of \mathcal{F}_v .

4. SLOW DIVERGENCE

Our interest lies in the case where $\ell_1(X_t) \rightarrow 0$ as $t \rightarrow \infty$. To prove of Theorem 1.1 we shall need an analog of Proposition 2.6 that applies to a continuous family of networks \mathcal{N}_t whose squares have dimensions going to zero. We also need to show that the slow rate of divergence gives us some control on the rate at which the small squares approach zero.

A crucial assumption in the proof of Theorem 2.15 is that the squares in the networks have area bounded away from zero. This allowed us to find generic points that persist in the squares of the networks along a subsequence $t_n \rightarrow \infty$. If the area of the squares tend to zero slowly enough as $t \rightarrow \infty$, one can still expect to find persistent generic points, with the help of the following result from probability theory.

Lemma 4.1. (Paley-Zygmund [PZ]) *If A_n be a sequence of measurable subsets of a probability space satisfying*

- (i) $|A_n \cap A_m| \leq K|A_n||A_m|$ for all $m > n$, and
- (ii) $\sum |A_n| = \infty$

then $|A_n \text{ i.o.}| \geq 1/K$.

Definition 4.2. We say a rectangle is α -buffered if it can be extended in the vertical direction to a larger rectangle of area at least α that overlaps itself at most once. (By the area of the rectangle, we mean the product of its sides.)

Proposition 4.3. *Suppose that for every $t > 0$ we have an α -buffered square S_t embedded in X_t with side $\sigma_t > c/t^{\varepsilon/2}$, $0 < \varepsilon \leq 1$. Then there exists $t_n \rightarrow \infty$ and $K = K(c, \alpha)$ such that $A_n = f^{-1}S_n$ satisfies $|A_n \cap A_m| \leq K|A_n||A_m|$ for all $m > n$ and $t_n \in O(n \log n \log \log n)$.*

Proof. Let (t_n) be any sequence satisfying the recurrence relation

$$t_{n+1} = t_n + \varepsilon \log(t_{n+1}) \quad t_0 > 1.$$

Note that the function $y = y(x) = x - \varepsilon \log x$ is increasing for $x > \varepsilon$ and has inverse $x = x(y)$ is increasing for $y > 1$, from which it follows that (t_n) is increasing. We have

$$\sigma_m e^{t_m - t_n} > (ct_m^{-\varepsilon/2})t_{n+1}^\varepsilon \cdots t_m^{\varepsilon/2} > ct_n^{\varepsilon/2}.$$

Let $B_n \supset S_n$ be a rectangle in X_n that has the same width as S_n and area at least α . Since B_n overlaps itself at most once, $\alpha \leq 2|B_n| \leq 2$. Therefore, the height of B_n is $< 2/\sigma_n < (2/c)t_n^{\varepsilon/2}$, which is less than $2/c^2$ times the height of the rectangle $R_m = f_n \circ f_m^{-1}S_m$, by the choice of t_n . Let R'_m be the smallest rectangle containing R_m that has horizontal edges disjoint from the interior of B_n . Its height is at most $1+4/c^2$ times that of R_m . For each component I of $S_n \cap R'_m$ there is a corresponding component J of $B_n \cap R'_m$ (see Figure 1) so that

$$|A_n \cap A_m| = \sum |I| \leq \frac{\alpha(S_n)}{\alpha} \sum |J| \leq \alpha^{-1}|S_n|\alpha(R'_m) < \frac{4+c^2}{\alpha c^2}|A_n||A_m|.$$

Choose t_0 large enough so that $t_{n+1} < 2t_n$ for all n and suppose that for some $C > 0$ and $n > 1$ we have $t_n < Cn \log n \log \log n$. Then

$$\begin{aligned} t_{n+1} &< t_n + \log t_{n+1} < t_n + \log t_n + \log 2 \\ &< Cn \log n \log \log n + \log n + \log \log n + \log \log \log n + \log 2C \\ &< Cn \log n \log \log n + \log n \log \log n \quad \text{for } n \gg 1 \\ &< C(n+1) \log(n+1) \log \log(n+1) \end{aligned}$$

so that $t_n \in O(n \log n \log \log n)$. □

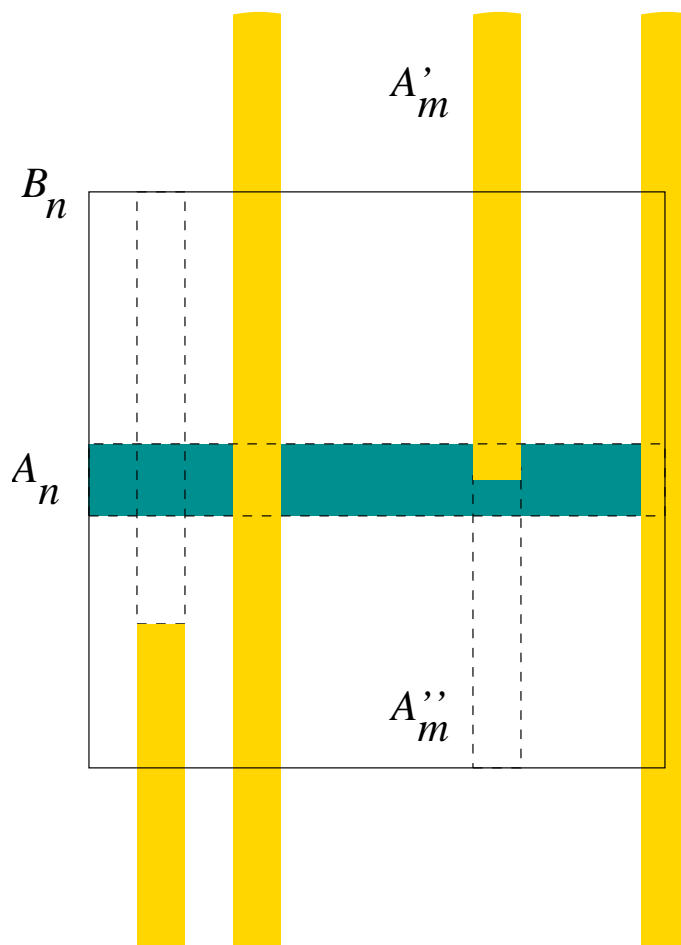


FIGURE 1. For purposes of illustration, the rectangles are represented by their images in X_t where t is the unique time when B_n maps to a square under the composition $f_m \circ f_n^{-1}$ of Teichmüller maps.

Remark 4.4. The condition $\liminf t^{1/2} \ell_1(X_t) > 0$ (corresponding to $\varepsilon = 1$ above) holds for almost every direction in *every* Teichmüller disk. [Ma2]

Definition 4.5. Assume the vertical foliation of (X, q) is minimal. Given a saddle connection γ , we may extend each critical leaf until the first time it meets γ . Let Γ be the union of these critical segments with γ . By a *vertical strip* we mean any component in the complement of Γ . We refer to any segment along a vertical edge on the boundary of a

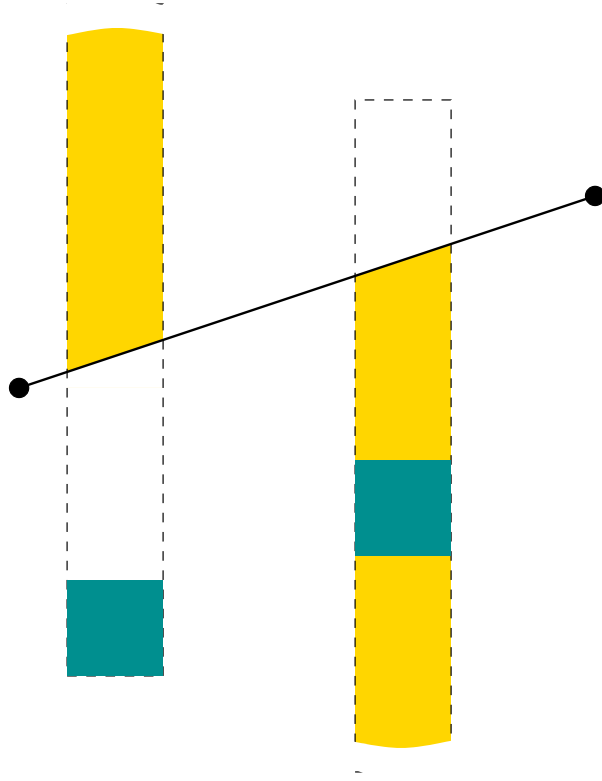


FIGURE 2. Any rectangle containing the vertical strip with most area serves as a buffer for any square of the same width contained in it.

vertical strip that joins a singularity to a point in the interior of γ as a *zipper*.

Each vertical strip has a pair of edges contained in γ as well as a pair of vertical edges, each containing exactly one singularity. The number m of vertical strips determined by a saddle connection depends only on the stratum of (X, q) . Thus, any rectangle containing the vertical strip with most area has area is a $1/m$ -buffer for any square of the same width contained in it. See Figure 2.

The condition (5) prevents the slopes of saddle connections from being too close to vertical. This allows for some control on the widths of vertical strips.

Proposition 4.6. *Let $h_0 > 0$, $c > 0$ and $\varepsilon > 0$ be the constants of the Diophantine condition satisfied by (X, q) . Let m be the number of vertical strips determined by any saddle connection. For any $\kappa > 1$ and $\delta > 2m\varepsilon$ there exists a $t_0 = t_0(h_0, c, \varepsilon, \kappa, \delta) > 1$ such that for any*

$t > t_0$ and any saddle connection γ in X_t whose length is at most κ , the width of any vertical strip determined by γ is at least $t^{-\delta}$.

Proof. Without loss of generality we may assume $c < 1$. The value of t_0 is chosen large enough to satisfying various conditions that will appear in the course of the proof. In particular, we require t_0 be large enough so that for $j = 1, \dots, 2m$ and any $t > t_0$ we have

$$(6) \quad c^{2j-1}t^{\delta-j\varepsilon} - \kappa > c^{2j}t^{\delta-j\varepsilon}.$$

First, observe that for any saddle connection γ' in X_t

$$(7) \quad h(\gamma') \leq h(\gamma) \quad \text{and} \quad v(\gamma') \leq e^{t/2} \quad \Rightarrow \quad h(\gamma')v(\gamma') > ct^{-\varepsilon}.$$

Indeed, if $\kappa e^{-t_0/2} < h_0$ we can apply the Diophantine condition to the saddle connection γ'_0 in X that corresponds to γ' to conclude

$$h(\gamma')v(\gamma') = h(\gamma'_0)v(\gamma'_0) > \frac{c}{(\log v(\gamma'_0))^\varepsilon} = \frac{c}{(t/2 + \log v(\gamma'))^\varepsilon} \geq ct^{-\varepsilon}.$$

We shall argue by contradiction and suppose that there is a vertical strip P_1 supported on γ whose width is $< t^{-\delta}$. Let γ_1 be the saddle connection joining the singularities on its vertical edges. If $v(\gamma_1) \leq e^{t/2}$, then (7) implies $v(\gamma_1) > ct^{\delta-\varepsilon}$. If $v(\gamma_1) > e^{t/2}$ we get the same conclusion by choosing t_0 large enough. We shall consider only zippers that protrude from a fixed side of γ . Using (6) with $j = 1$ we see that the height a_1 of the longer zipper on the boundary of P_1 satisfies

$$a_1 > c^{\delta-\varepsilon} - \kappa > c^2t^{\delta-\varepsilon}.$$

Suppose we have a contraction $P_1 \cup \dots \cup P_j, j \geq 1$ of vertical strips joined along zippers of height a_1, \dots, a_{j-1} and such that on the boundary of the contraction there is a zipper of height a_j satisfying

$$(8) \quad \min(a_1, \dots, a_j) > c^{2j}t^{\delta-j\varepsilon}.$$

If the total width w_j of the contraction is less than that of γ , we can adjoin a vertical strip P_{j+1} along the zipper of height a_j . The new contraction $P_1 \cup \dots \cup P_{j+1}$ contains an embedded parallelogram with a pair of vertical sides of length greater than the RHS of (8) and whose width equals the total width w_{j+1} of the new contraction. Therefore,

$$(9) \quad w_{j+1} < c^{-2j}t^{-(\delta-j\varepsilon)}$$

Let a_{j+1} be the height of the longer zipper on the boundary of the new contraction.

Claim: $a_{j+1} > c^{2(j+1)}t^{\delta-(j+1)\varepsilon}$.

If not, we can find a saddle connection γ_{j+1} that crosses from one vertical boundary of the union to the other, with vertical component

satisfying $v(\gamma_{j+1}) < c^{2(j+1)}t^{\delta-(j+1)\varepsilon} + \kappa < c^{2j+1}t^{\delta-(j+1)\varepsilon}$ by virtue of (6), assuming $j + 1 \leq m$. But then (7) implies

$$w_{j+1} > \frac{c}{v(\gamma_{j+1}t^\varepsilon)} > c^{-2j}t^{-(\delta-j\varepsilon)}$$

which contradicts (9), and thus establishes the claim.

As soon as the total width of the new contraction equals that of γ , both zippers on the boundary are degenerate or have height zero, contradicting the claim. This contradiction implies width of P_1 is at least $t^{-\delta}$. \square

Theorem 4.7. *There exists $\varepsilon > 0$ depending only on the stratum of (X, q) such that $\liminf t^\varepsilon \ell_1(X_t) > 0$ implies \mathcal{F}_V is uniquely ergodic.*

Proof. Let \mathcal{D}_t be a complete Delaunay triangulation of X_t . If a triangle $\Delta \in \mathcal{D}_t$ has an edge γ of length $\ell > \sqrt{2/\pi}$ then the circumscribing disk contains a maximal cylinder C that is crossed by γ and such that $h \leq \ell \leq \sqrt{h^2 + c^2}$ where h and c are height and circumference of the cylinder C ([MS]). Since $hc = \text{area}(C) \leq 1$ a long Delaunay edge will cross a cylinder of large modulus. Let κ and μ be chosen so that a Delaunay edge of length $> \kappa$ crosses a cylinder of modulus $> \mu$ and assume μ is large enough so that the cylinder crossed by the Delaunay edge is uniquely determined.

For each Delaunay edge γ of length at most κ , let Δ and Δ' be the Delaunay triangles that have γ on its boundary, and let D and D' the respective circumscribing disks. Applying Proposition 4.6 we can find a vertical strip of area at least δ ($= \frac{1}{m}$) which is contained in some immersed rectangle than contains a square S of side $\sigma_t = ct^{-\varepsilon}$ centered at some point on the equator of D as well as a square S' of the same size centered at some point on the equator of D' . Both S and S' are δ -buffered and K -reachable from each other for any $K > 0$.

Call an edge in \mathcal{D}_t *long* if it crosses a cylinder of modulus $> \mu$. Call a triangle in \mathcal{D}_t *thin* if it has two long edges. We note that if a triangle in \mathcal{D}_t has any long edges on its boundary, then it has exactly two such edges. Each cylinder C of modulus $> \mu$ determines a collection of long edges and thin triangles whose union contains C . Moreover, the intersection of the disks circumscribing the associated thin triangles contains a $\kappa/2$ -neighborhood of the core curve of C . For each such C , choose a saddle connection on its boundary and apply Proposition 4.6 to construct a δ -buffered square S'' of side σ_t centered at some point on the core curve of C .

Let \mathcal{N}_t be the collection of all squares S and S' associated with edges in \mathcal{D}_t of length at most κ together with all the squares S'' associated

cylinders of modulus $> \mu$. It is easy to see that \mathcal{N}_t is a K -network for any $K > 0$ and the number of elements in \mathcal{N}_t is bounded above by some constant N that depends only on the stratum. Choose ε so that $\varepsilon N \leq 1$ and let $t_n \rightarrow \infty$ be the sequence given by Proposition 4.3, and note that $\sum_n \sigma_n^2 = \infty$ by the choice of ε . Let $S_i^n, i = 1, \dots, N$ enumerate the elements of \mathcal{N}_n , using repetition, if necessary. Applying Lemma 4.1 to the subsets $A_n = f_n^{-1}S_1^n \times \dots \times f_n^{-1}S_N^n$ of the probability space X^N , we obtain an N -tuple of generic points (x_1, \dots, x_N) with the property that for infinitely many n , $f_n x_i \in S_i^n$ for all i . By passing to a subsequence, we may assume this holds for every n .

Let ν be the ergodic component that contains x_1 . Since $\Gamma_K(\mathcal{N}_n)$ is connected, we can find for each n an $x_i, i \neq 1$ such that $f_n x_i$ is K -reachable from $f_n x_1$. After re-indexing, if necessary, we may assume $f_n x_2$ is K -reachable from $f_n x_1$ for infinitely many n , and by further passing to a subsequence, we may assume this holds for every n . By Lemma 2.3 it follows that x_2 belongs to the ergodic component ν . Given $x_1, \dots, x_i, i < N$ we can find for each n an $x_j, j > i$ such that $f_n x_j$ is K -reachable from $f_n \{x_1, \dots, x_i\}$. After re-indexing and passing to a subsequence, we may assume that $f_n x_{i+1}$ is K -reachable from $f_n \{x_1, \dots, x_i\}$. Proceeding inductively, we deduce that each x_i belongs to the ergodic component ν .

Since X_n is K -fully covered by \mathcal{N}_n , given any generic point z , we can find for each n an x_i such that $f_n z$ is K -visible from $f_n x_i$. For some i this holds for infinitely many n , so that by Lemma 2.3, z belongs to the same ergodic component as x_i , i.e. ν . This shows that \mathcal{F}_v is uniquely ergodic. \square

Remark 4.8. Given any function $r(t) \rightarrow \infty$ as $t \rightarrow \infty$ there exists a Teichmüller geodesic X_t determined by a nonergodic vertical foliation such that $\limsup_{t \rightarrow \infty} r(t) \ell_1(X_t) > 0$. See [CE].

5. NONERGODIC DIRECTIONS

In the case of double covers of the torus branched over two points, it is possible to give a complete characterisation of the set of nonergodic directions. This allows us to obtain an affirmative answer to a question of W. Veech ([Ve1], p.32, question 2). We briefly sketch the main ideas of this argument.

Let (X, q) be the double of the flat torus $T = (\mathbb{C}^2/\mathbb{Z}[i], dz^2)$ along a horizontal slit of length $\lambda, 0 < \lambda < 1$. Let $z_0, z_1 \in T$ be the endpoints of the slit. The surface (X, q) is a branched double cover of T , branched over the points z_0 and z_1 . Assume $\lambda \notin \mathbb{Q}$. (If $\lambda \in \mathbb{Q}$, the surface is square-tiled, hence Veech; in this case, a direction is uniquely ergodic

iff its slope is irrational.) Let V be the set of holonomy vectors of saddle connections in X . Then

$$V = W \cup Z$$

where Z is the set of holonomy vectors of simple closed curves in T and W is the set of holonomy vectors of the form $\lambda + m + ni$ where $m, n \in \mathbb{Z}$. We refer to saddle connections with holonomy in W as *slits* and those with holonomy in Z as *loops*. Given any slit $w \in W$, there is segment in T joining z_0 to z_1 whose holonomy vector is w . The double of T along this segment is a branched cover that is biholomorphically equivalent to (X, q) if and only if

$$w \in W_0 := \{\lambda + m + ni : m, n \in 2\mathbb{Z}\}.$$

(See [Ch1].) In this case, the segment lifts to a pair of slits that are interchanged by the covering transformation $\tau : X \rightarrow X$ and the complement of their union is a pair of slit tori also interchanged by the involution τ . A slit is called *separating* if its holonomy lies in W_0 ; otherwise, it is *non-separating*.

Fix a direction θ and let \mathcal{F}_θ be the foliation in direction θ . Let X_t be the Teichmüller geodesic determined by \mathcal{F}_θ . Let $\ell(X_t)$ denote the length of the shortest saddle connection measured with respect to the sup norm. Assume $\lim_{t \rightarrow \infty} \ell(X_t) = 0$ for otherwise the length of the shortest separating system is bounded away from zero along some sequence $t_n \rightarrow \infty$ and Theorem 2.15 implies \mathcal{F}_θ is uniquely ergodic. Note that the shortest saddle connection can always be realised by either a slit or a loop, and if t is sufficiently large, we may also choose it to have holonomy vector with positive imaginary part. Note also that $\log \ell(X_t)$ is a piecewise linear function of slopes ± 1 .

Let v_j be the sequence of slits or loops that realise the local minima of $-\log \ell(X_t)$ as $t \rightarrow \infty$. We assume θ is minimal so that this is an infinite sequence. If v_j is a loop, then v_{j+1} must be a slit since two loops cannot be simultaneously short. If v_j and v_{j+1} are both slits, then the length of the vector $v_{j+1} - v_j$ at the time when v_j and v_{j+1} have the same length (with respect to the sup norm) is less than twice the common length. It follows that $v = v_{j+1} - v_j \in Z$ so that either v_j or v_{j+1} is non-separating. Note that v is the shortest shortest loop at this time. If v_j (resp. v_{j+1}) is non-separating, then at the first (resp. last) time when v is the shortest loop, there is another loop v' that forms an integral basis for $\mathbb{Z}[i]$ together with v . Since the common length of these loops is at least one, v_j (resp. v_{j+1}) is the unique slit or loop of length less than one and it follows that the length of the shortest separating system is at least one. If there exists an infinite

sequence of pairs of consecutive slits, then we may apply Theorem 2.15 to conclude that \mathcal{F}_θ is uniquely ergodic.

It remains to consider the case when the sequence of shortest vectors alternates between separating slits and loops

$$\dots v_{j-1}, w_j, v_j, w_{j+1}, v_{j+1}, \dots$$

with increasing imaginary parts. Note that $w_{j+1} - w_j$ is an even positive multiple of v_j , say $2b_{j+1}$. The surface X_t at the time t_j when v_j is shortest (slope ± 1) can be described quite explicitly. The slit w_j is almost horizontal while w_{j+1} is almost vertical. The area exchange between the partitions determined by w_j and w_{j+1} is approximately $|v_j \times w_j| = |v_j \times w_{j+1}| = \delta_j$. The surface can be represented as a sum of tori slit along w_{j+1} , each containing a cylinder having v_j as its core curve and occupying most of the area of the slit torus. Using this representation, we can find a single buffered square S_j with side $\sqrt{\delta_j}$ which, together with its image under τ , forms a K -network (for any $K > 0$). A straightforward calculation shows that the sequence $A_j = f_j^{-1}S_j$ satisfies $|A_j \cap A_k| \leq K|A_j||A_k|$ for all large enough $j < k$. Lemma 4.1 now implies \mathcal{F}_θ is uniquely ergodic if $\sum |A_j| = \infty$.

Conversely, if $\sum |A_j| < \infty$ then another straightforward calculation shows that the hypotheses of the nonergodicity criterion in [MS] are satisfied, implying that \mathcal{F}_θ is nonergodic.

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